


A circular stamp from the Office of Intellectual Property (OIP). The text "OIP" is at the top, "IAP85" is at the top right, "NOV 14 2005" is in the center, and "PATENT &amp; TRADEMARK OFFICE" is at the bottom.

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Examiner: Not Assigned

## CERTIFICATE OF MAILING

By:   
Francine Sanders, Assistant

## Transmittal Letter

- ☒ ✓ Enclosed is a Declaration of Inventorship and Limited Power of Attorney.
- ☒ ✓ Enclosed is an Assignment of the invention to The Regents of the University of California, including a recordation cover sheet.
- ☒ ✓ Enclosed is a Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71.
- ☒ ✓ Applicant hereby claims small entity status.
- ☒ ✓ Check No. 3887 in the amount of \$255.00.

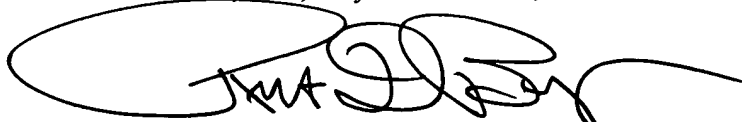
50.00 OP

- ☒ The Commissioner is hereby authorized to charge any underpayment and credit any overpayment of the filing fees required under 37 CFR § 1.16 and any patent application processing fees required under 37 § CFR 1.17 to Deposit Account No.50-0878.

Respectfully submitted,

Stout, Uxa, Buyan & Mullins, LLP

Date: November 9, 2005

A handwritten signature in black ink, appearing to read 'R. Buyan', enclosed within a large, loopy oval shape.

Robert D. Buyan, Reg. No. 32,460

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/530,602	FIRST NAMED APPLICANT Peter H Breen	ATTY. DOCKET NO. UCIVN-024US
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INTERNATIONAL APPLICATION NO. PCT/US03/33072
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I.A. FILING DATE 10/14/2003	PRIORITY DATE 10/11/2002
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33197  
 STOUT, UXA, BUYAN & MULLINS LLP  
 4 VENTURE, SUITE 300  
 IRVINE, CA 92618

CONFIRMATION NO. 7181  
 371 FORMALITIES LETTER  
 \*OC000000016943880\*  
 \*OC000000016943880\*

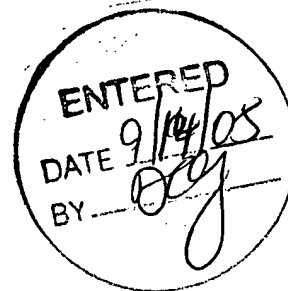
Date Mailed: 09/09/2005

*Response Due 11/9/05*

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/07/2005
- Copy of the International Search Report filed on 04/07/2005
- Small Entity Statement filed on 04/07/2005
- U.S. Basic National Fees filed on 04/07/2005
- Priority Documents filed on 04/07/2005



The applicant needs to satisfy supplemental fees problems indicated below.

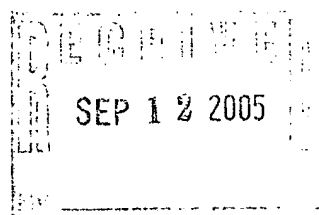
The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$215** for a Small Entity:

- \$65 Surcharge.



- The application search fee has not been paid. Applicant must submit \$50 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- The application examination fee has not been paid. Applicant must submit \$100 to complete the examination fee for a small entity in compliance with 37 CFR 1.27. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/530,602	PCT/US03/33072	UCIVN-024US